

Form PTO-1449 (Modified)  <b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>  (Use several sheets if necessary)	Atty Docket No. <b>G0533</b>	Express Mail <b>ER054909488US</b>
	Applicant: Halliyal et al.	
	Filing Date <b>September 5, 2003</b>	Group <b>2818</b> Not yet known

## U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Sub-class	Filing Date if Appropriate
UKT	5,015,502	05/14/91	Strangman et al.	427	248.1	
	5,648,113	07/15/97	Barbee et al.	427	8	
	5,654,035	08/05/97	Ljungberg et al.	427	255.3	
	6,037,003	03/14/00	Gordon et al.	427	255.34	
	6,319,730 B1	11/20/01	Ramdani et al.	438	3	
	6,278,166 B1	8/21/01	Ogle, Jr.	257	411	
	6,406,960 B1	6/18/02	Hopper et al.	438	261	
	6,420,279 B1	07/16/02	Ono et al.	438	785	
	6,407,435 B1	06/18/02	Ma et al.	257	411	
	5,120,672	06/09/92	Mitchell et al.	437	43	
	6,008,091	12/28/99	Gregor et al.	438	261	
	6,171,900 B1	01/09/01	Sun	438	240	
	6,309,927 B1	10/30/01	Au et al.	438	261	
	6,438,030 B1	08/20/02	Hu et al.	365	185.18	
	6,461,905 B1	10/08/02	Wang et al.	438	183	
	2002/0190311 A1	12/19/02	Blomme et al.	257	321	
	2002/0106536 A1	08/08/02	Lee et al.	428	702	
	2002/0115252 A1	08/22/02	Haukka et al.	438	240	
	2002/0142624 A1	10/03/02	Levy et al.	438	786	
	6,573,197	06/2003	Callegari et al.	438	91	
	5,907,766	05/1999	Swanson et al.	438	72	
	6,451,657	09/2002	Yang et al.	438	240	
✓	6,451,641	09/2002	Halliyal et al.	438	200	
UKT	6,407,435	06/2002	Ma et al.	257	411	

## FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Country	Class	Sub-class	Translation	
						Yes	No

## OTHER ART

Examiner Initial	Author, Title, Date, Pertinent Pages, etc.
	Kukli et al.; "Tailoring the Dielectric Properties of $\text{HfO}_2$ - $\text{Ta}_2\text{O}_5$ Nanolaminates"; Appl. Phys. Lett., Vol. 68 No. 26, 24 June 1996.
	Zhang et al.; "High Permittivity Thin Film Nanolaminates"; Journal of Applied Physics; Volume 87, Number 4, 15 February 2000.

EXAMINER <u>LONG TRAN</u>	DATE CONSIDERED <u>3/17/04</u>
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Information Disclosure Statement PTO-1449 (Modified)

The identification of any reference is not intended to be, and should not be understood as being, an admission that such publication, in fact, constitutes "prior art" within the meaning of applicable law since, for example, a given reference may have a later effective date than first seems apparent or the reference may have an effective date which can be antedated. The "prior art" status of any reference is a matter to be resolved during prosecution.

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